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(21) International Application Number: PCT/US00/14007 (22) International Filing Date: 19 May 2000 (19.05.2000) (30) Priority Data: 60/135,374 21 May 1999 (21.05.1999) US 60/148,498 12 August 1999 (12.08.1999) US (60) Parent Application or Grant FRISBY TECHNOLOGIES, INC. [/]; O. MCCROSSON, Douglas, J. [/]; O. BROWNING, Michael, B. [/]; O. ROBINSON, Douglas, K. [/]; O. ERICKSON, John, J. [/]; O. BARANEK, Thomas [/]; O. GARDNER, Robert, W. [/]; O. DALEY, Peter, A. [/]; O. NAM, Chang, Woo [/]; O. MCCROSSON, Douglas, J. [/]; O. BROWNING, Michael, B. [/]; O. ROBINSON, Douglas, K. [/]; O. ERICKSON, John, J. [/]; O. BARANEK, Thomas [/]; O. GARDNER, Robert, W. [/]; O. DALEY, Peter, A. [/]; O. NAM, Chang, Woo [/]; O. HEIBEL, George, E. ; O.	Published	
(54) Title: TEMPERATURE-STABILIZED ARTICLES (54) Titre: ARTICLES A TEMPERATURE STABILISEE (57) Abstract <p>Articles and products for preventing or minimizing temperature increases of a person or object (see the figure). The articles include a base material, and at least one temperature-stabilizing material integral with and dispersed throughout the base material. The temperature-stabilizing materials can be phase change materials and have phase change temperatures. Products can contain a plurality of articles and each article can have a phase change temperature the same as or different than those of other articles in the product. Products include footwear, protective apparel and the like.</p> (57) Abrégé <p>L'invention concerne des articles et produits conçus pour prévenir ou minimiser les hausses de températures chez un individu ou d'un objet (voir la Figure). Les articles comportent un matériau de base et au moins un matériau stabilisant la température solidaire avec le matériau de base et dispersé sur celui-ci. Les matériaux stabilisant la température peuvent être des matériaux à changement de phase et avoir des températures de changement de phase. Les produits peuvent contenir plusieurs articles, chacun pouvant avoir une température de changement de phase identique à, ou différente de, celle des autres articles que contient le produit. Les produits sont notamment des chaussures, des vêtements de protection et analogues.</p>		

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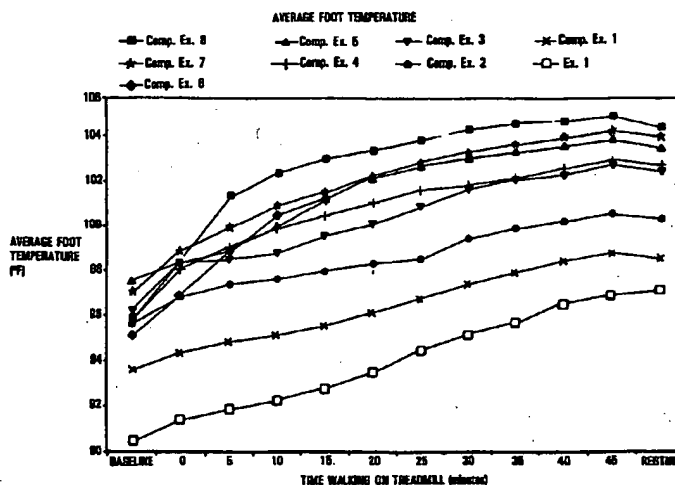
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(54) Title: TEMPERATURE-STABILIZED ARTICLES



(57) Abstract: Articles and products for preventing or minimizing temperature increases of a person or object (see the figure). The articles include a base material, and at least one temperature-stabilizing material integral with and dispersed throughout the base material. The temperature-stabilizing materials can be phase change materials and have phase change temperatures. Products can contain a plurality of articles and each article can have a phase change temperature the same as or different than those of other articles in the product. Products include footwear, protective apparel and the like.

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TEMPERATURE-STABILIZED ARTICLES

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The invention generally relates to the field of articles comprising phase change material-containing material. It is particularly, but not exclusively, suited for use in shoes designed for use in various athletic endeavors, such as tennis shoes, basketball shoes, golf shoes, etc. It is also suited for work shoes, dress shoes, boots, overshoes, climbing or hiking footwear, and other footwear, as well protective articles.

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Background of the Invention

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A long-standing goal has been to provide comfortable footwear for active uses, which can prevent against temperature rise of the feet during such activity. Previously available temperature-controlled insoles are described in U.S. Patent No. 5,499,460 to Bryant et al. Such footwear articles include phase change-type materials in microcapsules which are in a base material.

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Summary of the Invention

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The invention is based on the discovery that footwear, protective apparel, apparel accessories and the like, can include an article containing temperature-stabilizing material, or alternatively, components of at least two types, each containing temperature-stabilizing material, which article can effectively maintain an individual or object at a comfortably or suitably low temperature. Temperature-stabilizing material is integral to, and dispersed throughout the article. In certain embodiments at least two types of components have different temperature-stabilizing material having distinct phase change temperatures, chosen to provide maximum protection against temperature increase, for example, to provide a footwear user with maximum comfort under active conditions. The results of this increased comfort include enhanced performance, greater stamina and a higher level of enjoyment of such activities. The specific manner, and locations of temperature-stabilizing material are described herein.

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5 As used in the claims and specification, "normal skin surface temperature"
refers to the temperature range of skin surface temperatures (particularly of the foot)
10 from about 88°F to about 94°F.

10 Unless otherwise defined, all technical and scientific terms used herein have
5 the same meaning as commonly understood by one of ordinary skill in the art to
which this invention belongs. Although methods and materials similar or equivalent
15 to those described herein can be used in the practice or testing of the present
invention, suitable methods and materials are described below. All publications,
patent applications, patents, and other references mentioned herein are incorporated
20 by reference in their entirety. In case of conflict, the present specification, including
definitions, will control. In addition, the materials, methods, and examples are
illustrative only and not intended to be limiting.

25 Other features and advantages of the invention will be apparent from the
following detailed description, and from the claims.

15 Brief Description of the Drawings

30 Fig. 1 is a perspective view showing various portions of a shoe.

Fig. 2 is a longitudinal cross sectional view of a shoe.

35 Fig. 3 is a perspective view of a footwear insole according to a particular
20 embodiment of the invention.

Fig. 4 is a graph of average foot temperature measurements taken before,
40 during, and after a 45 minute treadmill test for various shoes.

Detailed Description

25 The inventive articles described herein provide increased resistance to
45 temperature increase of persons or objects in contact with the articles. When
employed in footwear, the articles provide increased comfort to the user, by inhibiting
temperature rise of the feet which can otherwise occur under active conditions. The
50 inventive articles employed in footwear are directed to providing cooling foot comfort

5 to the wearer under warm ambient conditions, as well as to wearers undertaking
physical activity. Further, particularly with respect to footwear containing
10 temperature-stabilizing materials with multiple phase change temperatures, the
inventive articles allow fabrication of travel, athletic or leisure footwear which can
5 extend the seasonality or geographic scope of the footwear. Travelers can equally
comfortably utilize footwear made with temperature-stabilizing articles in a cool
15 environment and a warm environment. Similarly, footwear useful for an outdoor
sport such as golf, for example, can be equally comfortably used in cold and hot
weather without the need for alternating footwear. Thus, the temperature-stabilizing
20 articles find utility in travel/adventure shoes, as well as in golf footwear.

20 Foot temperature inside shoes is influenced by a number of factors, including
heat input from a warm surface upon which the wearers walk, heat input from direct
sunlight, heat generated from the body which cannot easily be dissipated to the
25 environment through typical shoes made to keep exterior or outside moisture away
from the foot, and by frictional forces between the foot and inner shoe surfaces, as
15 well as by other processes. Thus, the foot can be effectively viewed as a
microclimate. By controlling and minimizing as many of these heat sources as
30 possible, undesirable increases in foot temperature can be minimized. Above a given
temperature, the feet begin to feel uncomfortable. Although this temperature varies
20 according to individual tolerances, temperatures above approximately 95°F may begin
to be perceived as uncomfortable. The goal of the invention is to provide the feet
35 with a quasi-equilibrium temperature which is perceived to be comfortable by the
wearer.

40 In one aspect, the invention involves products, such as footwear, including
25 articles having a temperature-stabilizing material with a phase change temperature,
which temperature-stabilizing material are incorporated into a flexible and resilient
base material. The phase change temperature associated with the article is selected to
45 minimize the temperature rise of a person or object, particularly during physical
exertion of the person. In addition to footwear, the base material can be adapted to be
30 positioned within protective and apparel products such as footwear, helmets, elbow,
knee or shin guards, or straps for a backpack, for example.

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In another aspect, the invention involves the use of footwear including at least two types of articles, each of which includes a temperature-stabilizing material, each type having a distinct phase change temperature, and each incorporated into a flexible and resilient base material. For example, one phase change temperature can be below normal skin temperature and another phase change temperature can be above normal skin temperature.

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The invention as a whole acts to reduce the temperature rise of a person (for example of their feet) during activity. This is accomplished by providing temperature-stabilizing material with specific phase change temperatures or specific positioning of temperature-stabilizing material for the maintenance of a particularly comfortable skin temperature. The article, for example, footwear maintains a perception of coolness for an extended time, against the rise in foot temperature which would otherwise occur.

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When incorporated into articles of footwear, the inventive article can be used in, for example, shoes of many general types. Preferred among shoes are those which substantially protect the foot from external conditions. Thus, sandals or other substantially open shoes are not generally useful for the purposes of the invention. Other shoes which are considered useful for the invention are those which, for reasons of their function, require the foot to be substantially enclosed. Such shoes include athletic shoes, including shoes for basketball, tennis, golf, volleyball, baseball, football, soccer, hiking, climbing, and similar indoor and outdoor sports and activities. Other shoes which are useful in the present invention are work shoes, and work boots. Particularly useful are shoes which are to be used in warm environments.

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In Fig. 1, shoe 1 which can be useful in the present invention is shown. The shoe has sole 2, connected at its edges to upper 4. Upper 4 includes such parts as vamp 6, and collar portion 8. Also included is tongue 10.

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In Fig. 2, a longitudinal cross section of shoe 1 generated between points A of Fig. 1 is shown. Fig. 2 shows insole 12. The insole is generally of the same general shape and dimension as the sole of the shoe, and rests either directly or indirectly on

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5 the footbed of the shoe, which rests on the sole. The shoe is constructed according to general methods of shoe construction known to those of ordinary skill in the art.

10 The articles can take the form of footwear components to be deployed in various parts of a shoe. These articles include a base material. The base material can
5 comprise a number of different materials, such as liquids, gels, foamed solids or nonfoamed solids. The base material desirably provides a convenient thermal path
15 between the foot and the temperature-stabilizing material (described below) within the base material. Without a base material, the temperature-stabilizing material is not optimized to receive heat and prevent temperature increases of the foot. A base
20 material can be flexible in parts of the shoe that typically experience flexing during wear. Other parts of the shoe which are not expected to undergo much flexing can include base materials which are less flexible, including ground cork and like materials. These materials can be used, for example, in the sole of the shoe.

25 Among desirable base materials are flexible and resilient materials such as
15 polymeric material. Useful polymeric base materials such as a moldable foamed organic plastic are envisioned for use in the invention. Examples of acceptable
30 polymeric materials are polyurethane, ethylene/vinyl acetate (EVA) copolymer, latex, polyethylene, polypropylene, butadiene, silicone, cellulose acetate, neoprene, epoxy, polystyrene, rubber and polyvinyl chloride (PVC). These materials may be foamed or
20 not, according to the particular material selected. Foamed base material has air-containing pockets, or cells, which can either be open or closed, depending on the particular application. A useful material has been found to be an open cell,
35 polyurethane foam obtained from Time Release, Inc. (Niagara Falls, NY).

40 Particularly useful polymeric base materials for some applications are those
25 with high compression resistance. This property gives good shock absorbing ability without compromising the distribution of temperature-stabilizing material. A polymeric base material with high compression resistance also possesses resistance to
45 impact, contributing to protective properties which are useful in some applications. Such properties are desirable, for example, when an article is used in footwear and is
30 under repeated pressure from ambulation. Preferred compression resistant polymeric

base materials have a compression set of 20% or less, for example 15% or less, more preferably 10% or less.

Particularly useful polymeric base materials for some applications are those which are thermoformable, that is, those which can be shaped to assume a desired shape by the application of heat. Thermoformability of polymeric base materials leads to ease and versatility in manufacture of products containing articles employing such materials. Preferred polymeric base materials are thermoformable at temperatures below about 300°F, in order that the particular temperature-stabilizing material not be irreversibly affected by extreme temperatures.

Particularly useful polymeric base materials are those which can be loaded with effective amounts of temperature-stabilizing material. Some known polymeric base materials are limited in their capacity to integrally contain temperature-stabilizing material, since increased loading can compromise the structure of these polymeric base materials. For example, polymeric base materials useful in articles described herein can contain from about 15% to about 35% temperature-stabilizing material by weight, based on the weight of polymeric base material. Preferred polymeric base materials can contain from about 20% to about 35% temperature-stabilizing material by weight, or from about 20% to about 30%. Suitably thin layers of such base materials can contain at least about 400 g/m² of temperature-stabilizing material such as microencapsulated phase change material. More preferably, useful polymeric base materials include at least about 425 g/m² of THERMASORB® 83, for example, 450 g/m² of THERMASORB® 83.

Particularly useful polymeric base materials are those with specific gravities above about 0.75, for example, above about 0.80, or preferably, above about 0.90, more preferably, above about 0.93, for example, 0.922 or 0.934.

Particularly useful polymeric base materials are those with high durometer ratings. The Durometer Hardness Test can be found in ASTM standard D 1706 and D 2240. This test measures depth of indentation under a load when a hardened steel indenter is pressed into a sample with a calibrated spring force. A durometer of type A is used for nonrigid materials. Preferred polymeric base materials have hardnesses,

5 for example, of at least 20 Shore A, or preferably at least 21 Shore A, more preferably
at least 22 Shore A, for example 23 Shore A or more, when the base material is
unloaded with temperature stabilizing material. When polymeric base material is
10 loaded to 30% by weight with temperature-stabilizing material (for example,
5 THERMASORB®83), the hardnesses are desirably at least about 5 Shore A, more
preferably at least about 10 Shore A, and more desirably at least about 15 Shore A.
15 Excessive hardness can be undesirable for articles used in some applications, either
for reasons of potential brittleness or for comfort of the material when in contact with
a person. For example, for applications in which the article is used as a footwear
20 component in the insole region of footwear, Shore A hardnesses are preferably less
than about 35.

Particularly useful polymeric base materials are those with tensile strength
above about 8 kg/cm^2 , preferably above about 10 kg/cm^2 , for example, 11 kg/cm^2 .
25 Particularly useful polymeric base materials are those with elongations of about
15 800%. Particularly useful polymeric base materials are those with abrasion resistance
of 44% (NBS). Particularly useful polymeric base materials are those with tear
strength of at least 3 kg/cm , for example at least 3.6 kg/cm , or at least 5.3 kg/cm .
30 Particularly useful polymeric base material are those with resiliencies of at least 40%,
for example at least 43% or at least 47%. Preferred polymeric base materials are
20 those which possess the above qualities simultaneously when loaded to about 30% by
weight with temperature-stabilizing material, for example, THERMASORB®83. The
35 test methods for the determination of these properties are the appropriate ASTM test
methods.

40 A particularly useful polymeric base material has a combination of the
25 properties of high compression resistance, resilience, thermoformability, loading, high
specific gravity, durability, tensile strength, elongation and abrasion resistance
described above. Non-foamed polymeric base materials can be suitable. Non-foamed
45 polymeric base materials do not tend to hold moisture, which can lead to corrosion,
bacterial growth, and associated odor, contamination and the like. Suitable base
30 materials have been found to be, for example, butadiene rubber, styrene butadiene
rubber, natural rubber, nitrile butadiene rubber, ethylene propylene-diene rubber,
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5 ethylene propylene rubber and silicone rubber. These materials can be fabricated as
solids, gels or foamed solids according to methods known to those of skill in the art.
10 Other base materials which may be suitable include polyurethane, acryl latex, and
natural latex. In some preferred embodiments, rubbers are suitable, such as butadiene
5 rubber.

Different polymeric materials can be used as base materials in the inventive
15 articles to be used in different parts of the article. For example, in applications in
which the article is employed in a shoe, desirably, a denser, more durable base
material, such as a non-foamed material can be used for the insole portion of the shoe.
10 For example, a base material to be used in the insole portion of a shoe can comprise
polyurethane, or another resilient polymer. A preferred base material for an insole is
20 polyurethane foam, or in some applications, butadiene rubber applied to a
polyurethane foam or other traditional insole.

25 For upper portions of a shoe, it is desirable that the base material be somewhat
15 less dense, and more breathable than in other portions of a shoe. For example, a base
material to be used in the tongue, vamp, or collar portion of the shoe can comprise a
styrene-butadiene random or block copolymer, or a styrene-butadiene polymer blend,
30 which can be foamed or not foamed.

Similarly, the densities of foamed polymers can vary from about 3 pounds per
20 cubic foot to about 15 pounds per cubic foot. Preferably, the densities can vary from
about 4 to about 12 pounds per cubic foot. The densities of the foamed base material
35 can be different for articles to be used in different parts of a product. For example,
the density of the base material to be used in articles such as footwear components
used in the insole region of a shoe can range from about 3 to about 15 pounds per
40 cubic foot, but is preferably from about 6 to about 12 pounds per cubic foot. The
25 density of the base material to be used in articles such as footwear components to be
placed in the tongue, vamp or collar portions of a shoe can also range from about 3 to
45 about 15 pounds per cubic foot, but preferably lower, in the range of from about 4 to
about 8 pounds per cubic foot.

5 The densities of polymeric base materials that are not foamed can be higher,
for example, specific gravities for nonfoamed polymeric base material in an article
used as a footwear component in an insole region of a shoe can be at least about 0.75,
10 preferably at least about 0.80, more preferably at least about 0.85, even more
5 preferably at least about 0.88. In other applications in which compressive or other
forces are less, the specific gravities of the base material can be less, to lighten the
articles or to increase flexibility. Specific gravities for nonfoamed polymeric base
15 material to be used in an upper portion of a shoe (tongue, vamp or collar) can be at
least about 0.6

20 Similarly, the thickness of the base material can vary from about 0.5 to about
10 mm, preferably from about 1.0 to about 6 mm. For base material in an article such
as a footwear component to be used as the insole portion of a shoe, we prefer the
range from about 0.5 mm to about 6 mm.

25 For comfort and feel, the base material can be covered with a number of
15 materials, including fabrics, polymeric materials, and other covering materials which
do not substantially impede heat transfer between the person or object to be kept cool
and the base material. For surfaces of the article which are not meant to contact the
30 person or object to be kept cool, this restriction does not apply.

20 Temperature-stabilizing material

35 The temperature-stabilizing material can be encapsulated in microcapsules
(called MicroPCM), or in larger capsules. Microcapsules offer a greater surface area
for a given amount of temperature-stabilizing material however, which leads to more
40 efficient heat transfer from the base material. Encapsulated temperature-stabilizing
25 material can be encapsulated in leak resistant microcapsules. Further, the
microencapsulated temperature-stabilizing materials can be individually
surroundingly encapsulated and embedded within the base material. Additionally,
45 substantially all the microcapsules can be spaced apart from each other, the space
between neighboring adjacent microcapsules being base material, or, if the base
30 material is foamed, base material and air or other gas. Microcapsules of temperature-

5 stabilizing material can range in size from about 1.0 to about 1000 microns and are formed according to conventional methods well known to those of skill in the art.

10 The temperature-stabilizing material can be a phase change-type material.

The phase change materials remove some of the heat from the sorbent material simply through storage of sensible heat. In other words, they heat up as the heat source (foot and surrounding shoe material, for example) heats up, removing heat from the heat source. However, the most effective function of the phase change material is in the phase change itself. An extremely large quantity of heat is absorbed by a suitable phase change material in connection with the phase change (that is, change from a solid phase to a liquid phase, or change from a liquid phase to a vapor phase). There is typically no change in the temperature of the phase change material during the phase change, despite the relatively substantial amount of heat required to effect the change, which heat is absorbed during the change. Phase change materials which change from a solid to a liquid, absorbing from the sorbent their latent heat of fusion, are the most practical in a closed system.

Suitable phase change materials for particular applications may be selected from paraffin, naphthalene, sulphur, hydrated calcium chloride, bromocamphor, cetyl alcohol, cyanamide, eleudic acid, lauric acid, hydrated sodium silicate, sodium thiosulfate pentahydrate, disodium phosphate, hydrated sodium carbonate, hydrated calcium nitrate, Glauber's salt, potassium, sodium and magnesium acetate.

Examples of such phase change materials are paraffinic hydrocarbons having carbon chain lengths of between about 13 and 30 carbon atoms. Additionally, materials such as 2,2-dimethyl-1,3-propanediol (DMP), and 2-hydroxymethyl-2-methyl-1,3-propanediol (HMP) and the like can be used as the temperature-stabilizing material.

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Compound	Number of	Melting Point
n-Octacosane	28	61.4
n-Heptacosane	27	59.0
n-Hexacosane	26	56.4
n-Pentacosane	25	53.7
n-Tetracosane	24	50.9
n-Tricosane	23	47.6
n-n-Docosane	22	44.4
n-Heneicosane	21	40.5
n-Eicosane	20	36.8
n-Nonadecane	19	32.1
n-Octadecane	18	28.2
n-Heptadecane	17	22.0
n-Hexadecane	16	18.2
n-Pentadecane	15	10.0
n-Tetradecane	14	5.9
n-Tridecane	13	-5.5

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Each of the above materials can be separately encapsulated and is most effective near the melting point indicated. The materials can also be blended within individual capsules or microcapsules to give temperature-stabilizing materials of a virtually continuous range of phase change temperatures. It will be seen from the foregoing that the effective temperature range of the article can be tailored to operate optimally in a specific environment by selecting the phase change temperature and adding microcapsules containing the corresponding temperature-stabilizing material to the article. As mentioned, in one aspect, the products can include at least two types of articles having at least two different temperature-stabilizing materials. According to this aspect, each type of article functions to stabilize a different temperature, and can be located in a different part of the product.

5 For the present invention, it is desirable that the phase change material
used in the article according to one aspect of the invention undergo its phase change
at a temperature which is somewhat lower than the skin temperature of person at rest.
10 Resting skin temperatures (including resting foot temperatures) can range from about
5 88°F to about 95°F (that is, from about 31°C to about 35°C). Elevated skin
temperatures can range from about 90°F to about 98°F and above. Therefore it is
15 desirable that phase change material to be used in this aspect of the present invention
undergo its phase change at temperatures of about 78°F to about 100°F (that is, from
about 25°C to about 38°C). Preferably, the phase change material undergoes its phase
20 change at temperatures from about 80°F to about 86°F (that is, from about 26.6°C to
about 30.0°C) or from about 92°F to about 98°F (that is, from about 33.3°C to about
36.6°F).

Microencapsulated phase change materials are available, for example,
25 from Frisby Technologies (Winston-Salem, NC). One such suitable material is
15 THERMASORB® 83, which undergoes a solid-liquid phase transition at about 83°F
(that is, 28.3°C). Another such suitable material is THERMASORB® 95, which
undergoes a solid-liquid phase transition at about 95°F (that is, 35°C). Another such
30 suitable material is THERMASORB® 65, which has a solid-liquid phase transition
temperature of about 65°F (that is, 18.3°C). Another such suitable material is
20 THERMASORB® 122, which has a solid-liquid phase transition temperature of about
122°F (that is, 50°C). These materials are able to absorb between about 165 and 210
35 Joules per gram of THERMASORB® microcapsules. These materials can be blended
in a polymeric base material to create articles with a range of transition temperatures.
Such blends can be made homogeneously (that is, even distribution of temperature-
40 25 stabilizing materials of more than one type throughout a polymeric base material), or
non-homogeneously (that is, concentration of a particular temperature-stabilizing
material in one region of a base material, and concentration of another temperature-
45 stabilizing material in another region of the base material). Alternatively,
temperature-stabilizing materials can be distributed non-evenly within a base material,
50 30 so that they are localized, for example, in a region of higher expected heat input, or

5 heat loss than another region having either less, or even no, temperature-stabilizing material.

10 According to another aspect of the invention, it has been found that it can be important for comfort that there be a perception of coolness to be experienced by
5 the wearer of a shoe immediately upon putting the shoe on. This perception leads to immediate satisfaction with the product and leads to increased confidence on the
15 wearer's part that the shoe inhibits excessive temperature rise of the foot. This perception can be achieved by equipping the footwear with a first type of article having a first phase change material that undergoes a first temperature transition
20 above typical ambient temperature (so that the phase change material is solid when the shoe is at a typical ambient temperature). At the same time, the first phase change temperature should be below that of normal resting skin temperature, so that the phase change material immediately begins removing heat from the foot. Thus, the first
25 phase change temperature will be selected according to considerations of both normal resting foot temperature, and an expected ambient temperature for the environment in
15 which the shoe is likely to be used. These ambient temperatures can range up to about 95°F.

30 For further benefits according to this further aspect of the invention, the footwear is equipped with a second type of article, having a second phase change
20 material that undergoes a phase change at a temperature above that of normal resting skin temperature but below a temperature at which the feet feel excessively hot (approximately 97°F). The second phase-change temperature-stabilizer acts to
35 minimize temperature rise of the foot after the foot has reached a somewhat elevated temperature which is associated with vigorous activity.

40 Generally, the phase-change transition temperatures of the first and second
25 phase-change materials are different from each other by about 5°F to about 30°F. In a particular embodiment, the first phase-change temperature-stabilizer undergoes a
45 transition from about 75°F to about 90°F, or preferably from about 78°F to about 87°F, or more preferably from about 80°F to about 85°F.

5 In a particular embodiment, the second phase-change temperature-stabilizer
undergoes a transition from about 85°F to about 105°F, or preferably from about 88°F
10 to about 102°F, or more preferably from about 90°F to about 100°F. In a particularly
preferred embodiment, the first phase change temperature is from about 78°F to about
5 87°F, and the second phase change temperature is from about 88°F to about 102°F.
For avoiding the effects of an extreme heat, a third phase change material can be
15 included with an even higher phase change temperature, for example from about
110°F to 130°F.

In fabricating articles according to the invention, the desired encapsulated
10 phase change materials are added to a polymeric base material (liquid, solution,
suspension, dispersion or slurry) and fabrication is accomplished according to
conventional methods which may include foaming. A foamed base material can be
20 formed by selecting a liquid polymer and/or elastomer and then causing it to be
foamed. Common methods of foaming are disclosed in U.S. Patent No. 5,499,60 to
25 Bryant, and other methods are well known to those skilled in the art. Non-foamed
polymeric base material can be cured, crosslinked, or otherwise brought to a
substantially solid state by means known to those of skill in the art.

30 The temperature-stabilizing material (for example, MicroPCM) can be added
to the liquid polymer/elastomer prior to hardening or curing and mixed therein to
20 insure wetting and equal dispersion throughout the mixture. Alternatively, but less
desirably, the temperature-stabilizing material can be placed into a hardened or cured
35 polymer/elastomer by such means as skiving under a knife edge.

One important parameter of the articles is the loading (weight of temperature-
40 stabilizing material per weight of base material) of polymeric base material. High
25 loading can increase the ability of the article to absorb heat, while excessive loading
has the potential drawback that so much of the generally more thermally conductive
base material is replaced with generally less thermally conductive temperature-
45 stabilizing material that the article does not easily transmit heat to the temperature-
stabilizing material. This can result in decreased thermal coupling between the heat
30 source (foot) and heat sink (for example, microencapsulated phase change material, or
MicroPCM). Further, high loading can alter the structure of the base material, and
50

5 thereby its properties, which may be important for the utility of the base material. So
there exists a balance between efficient heat transfer through the base material, and
base material functionality on the one hand, and heat uptake capability on the other.
10 It should also be noted that, generally, the higher the loading (increasing the capacity
5 for heat uptake), the more energy transfer required to regenerate the phase change
material.

15 Typical concentrations of microencapsulated phase change materials added to
the base polymer material in a range from about 20% to about 60% by weight,
preferably from about 25% to about 50%. The loading range can be different for
10 different densities of foam, so that a less dense foam will be able to be loaded to a
20 lesser extent while retaining its essential characteristics and properties for use in the
invention than a denser foam would be. Foams of density of about 3 pounds of base
material per cubic foot will generally be loaded with microPCM up to about 40-50%
25 by weight. Foams of densities of about 9 pounds of base material per cubic foot will
15 generally be loaded with microPCM up to about 50-60% by weight.

The loading can be different for articles to be placed in different parts of a
product. For example, for an article used as a footwear component, to be placed in
30 the position of an insole, the loading can be from about 20% to about 60%, or from
about 30% to about 50% (that is, 40% microcapsules by weight of base polymer). For
20 an article used as a footwear component to be placed in the tongue, vamp or collar
35 portion of a shoe, the loading can be from about 20% to about 60%, or from about
20% to about 40%.

When the product is a shoe, such shoes can contain from about 2 to about 16
40 ounces of microPCM per pair of shoes. Thus, a pair of shoes according to the
25 invention can absorb from about 350 to about 4000 Joules of heat. Preferably, a pair
of shoes according to the invention is designed to absorb from about 1000 to about
3500 Joules of heat, and more preferably from about 2000 to about 3500 Joules of
45 heat.

The articles can be placed in any part of the product. When the product is a
30 shoe, and the article is a footwear component which can act as a portion of, or the

5 entirety of any of these parts of footwear: an insole, a tongue, a vamp, a collar, sides,
a heel, and other portions of a shoe where the prevention of temperature rise of the
feet is desirable, and good thermal contact can be made with the foot. Some areas of
10 the foot are more thermally sensitive than others, and the inventive articles as
5 footwear components can be especially effective if incorporated into parts of the shoe
meant to contact these areas, for example, the instep of the foot. Certain areas of a
shoe will alternatively make good thermal contact with the ground surface and can
15 prevent the temperature rise of the wearer's feet in that way. The method of
attachment of the articles is not critical to the invention. Typically, the articles are
10 glued or sewn into the product, for example, into the footbeds of shoes. Shoes can
also be made so that the footwear components are integral to the shoes and attachment
is not required. General methods of manufacturing shoes are well known to those of
20 skill in the art. It will be apparent to one of ordinary skill in the art, upon reading this
detailed description, how to go about manufacturing a shoe generally described
25 herein.

In a particular example, an article comprising a butadiene rubber base material
and microencapsulated phase change material can be incorporated into a shoe insole,
30 for example in the heel region, or in the toe crest. Shoe insoles can be made of a
polymeric material having high compression resistance. Suitable materials for
20 footwear insoles include polyurethane, ethylene/vinyl acetate (EVA) copolymer,
latex, polyethylene, polypropylene, butadiene, silicone, cellulose acetate, neoprene,
35 epoxy, polystyrene, rubber and polyvinyl chloride (PVC). In some preferred
embodiments, closed cell foamed EVA copolymer is suitable. Fig. 3 shows a shoe
insole featuring a temperature-stabilizing article in the toe crest area. The
40 25 temperature-stabilizing article can be attached to a non-temperature stabilizing
material by any suitable means, such as by chemical adhesive, or physical means of
attachment. In some embodiments, the temperature-stabilizing material can be
applied directly to a substrate (for example, a shoe insole to create a footwear
45 component with temperature-stabilizing properties), and, for example, cured *in situ*.
30 Some substrate materials will need to be treated after production to accept
temperature-stabilizing material. For example, EVA typically has a "skin" on its

5 surface after molding, which can be removed by several methods, including treatment with a solvent, for example, acetone.

10 In another embodiment, temperature-stabilizing material is dispersed throughout a base material of ground cork and inserted into the sole of a shoe or boot.
5 Typically, an inner portion of a rubber sole can be hollowed out and the rubber replaced with a less dense material without sacrificing the strength of the sole. This
15 less dense material can be a footwear component as described above.

The temperature-stabilizing ability of the articles can be initially realized, or regenerated, by placing the article, or product containing the article, in an
20 environment which is below the phase transition temperature of the temperature-stabilizing material of the article or product, for a period long enough to convert all (or at least part of) the liquid material into solid material.

The invention further provides a method of preventing the temperature rise of
25 a person or object. The method comprises thermally charging (or regenerating) an article substantially as described above. Thermal charging involves ensuring that at
15 least some, preferably all, of the phase change type material is in the solid phase. This can be done by leaving the article, or product containing the article, in ambient
30 temperature which is below the phase transition temperature of the phase change type material, or by placing the article or product in a refrigerator or other cool place for the same purpose. The article or product are then placed in contact with a person or
20 object, for example, with a wearer's feet. Physical activity (including strenuous physical activity such as hiking, climbing, running, walking, engaging in sports including basketball, football, baseball, volleyball, soccer, and the like) can then be
35 undertaken without the temperature rise of the feet which would be experienced while wearing conventional shoes.
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The invention will be further described in the following examples, which do not limit the scope of the invention described in the claims.

5

Examples

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The following examples illustrate the properties of certain embodiments of the invention.

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Example 1: Treadmill Testing

The treadmill test was carried out as follows. A test subject was given socks and a pair of shoes to wear. Care was taken that the fit of the shoes was proper, as it had previously been observed that improper shoe fit can give unreliable results.

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Foot temperatures were measured by an Agema 570 IR camera in an environmentally controlled room (room temperature was 72°F; 22.2°C; humidity 40%). Each test subject sat on a stool, with their calves over another stool to ensure that the feet were suspended in air and not making any contact with the other foot or the ground during measurement. Foot temperatures were recorded as the average recordings of three different areas of the foot: the bottom of the foot, the instep or top of the foot, and the arch of the foot. An initial foot temperature was recorded, prior to exercise, which is the baseline. Immediately prior to exercise, another measurement was taken, which is time zero. Subjects walked at 2.5 mph on a Precor C964 treadmill (no incline) for 45 minutes. Every five minutes, the treadmill was stopped, and the subject proceeded directly to the stools, removed the test shoes and socks and measurement of the foot temperature was undertaken immediately. The subject then replaced the socks and shoes on the feet and continued the treadmill test. After 45 minutes, the subject rested and foot temperature was taken again (resting).

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The configuration of the footwear according to certain embodiments of the invention was as follows.

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Footwear according to Example 1 is the FootJoy Dry I.C.E. golf shoe with 2.3 ± 0.25 mm of 83°F phase change material-containing foam in the tongue lining and collar portions of the shoe, and 2.75 ± 0.25 mm of 83°F phase change material-containing foam in the insole of the shoe.

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This particular exemplary embodiment of the invention was compared to footwear without any phase change material present, or with phase change material present only in the insole of the shoe. The configuration of the footwear according to Comparative Examples was as follows.

15

5 Footwear according to Comparative Example 1 is the FootJoy DryJoys GX golf shoe, with phase change material in the insole portion of the shoe. This shoe has a 2.75 ± 0.25 mm thick, 9 pound per cubic foot polyurethane foam as the insole, loaded to 40% by weight with phase change material undergoing a phase change at about 83°F (28.3°C).

20

10 Footwear according to Comparative Example 2 is the FootJoy DryJoy golf shoe without any phase change material incorporated. Footwear according to Comparative Example 3 is the Etonic Difference Tour golf shoe. Footwear according to Comparative Example 4 is the Reebok DMX Trac golf shoe. Footwear according to Comparative Example 5 is the Etonic Difference golf shoe. Footwear according to
15 Comparative Example 6 is the Nike SSL golf shoe. Footwear according to Comparative Example 7 is the Adidas Saddle Stripe golf shoe. Footwear according to Comparative Example 8 is the Nike Air Zoom Tour T@C golf shoe.

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The resulting time-temperature graph is shown in Fig. 4. The baseline temperatures for all of the products without phase change material were in a tight range between 95.07 to 97.47°F. The baseline temperatures for subjects' feet wearing the footwear of Example 1 and Comparative Example 1 were lower, due to the immediate cooling effect of the phase change material-containing foams in these shoes. As the subjects walked, their feet temperature increases while wearing footwear according to Comparative Examples 3-8 were all greater than those while wearing footwear according to Comparative Examples 1 or 2, or Example 1. After only 5 minutes, the foot temperature of subjects wearing footwear according to Comparative Example 2 was over 1°F cooler than that of those wearing footwear according to Comparative Example 3, and 4°F cooler than that of those wearing footwear according to Comparative Example 8. The difference in temperatures had
25 widened by the end of the test, so that the foot temperature of subjects wearing footwear according to Comparative Example 2 was 2.2°F cooler than that of those

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5 wearing footwear according to Comparative Example 3, and 4.4°F cooler than that of those wearing footwear according to Comparative Example 8.

10 The footwear containing phase change material in the insole (Comparative Example 1), and in the insole, tongue, and collar (Example 1) resulted in an even more dramatic prevention of the rise of foot temperature of the subjects. These
5 footwear showed a reduction in the subjects' foot temperature from the time they were put on, throughout the test, and to the end of the test.

15 The footwear according to Comparative Example 1 reduced subjects' foot temperature approximately 4°F compared to Comparative Example 3, and over 6°F compared to Comparative Example 6.
10

20 The footwear according to Example 1 reduced subjects' foot temperature approximately 6 to 6.5°F compared to Comparative Example 3, and 7.5 to 10°F compared to Comparative Example 8.

25 Surprisingly, after 45 minutes of walking, the subjects wearing the footwear according to Example 1 had approximately the same foot temperature as subjects
15 wearing footwear according to Comparative Examples 3-8 had before ever walking.

30 Example 2: Butadiene Rubber-Containing Temperature-Stabilizing Material

35 Butadiene rubber containing 30% by weight (based on the weight of the rubber) of microencapsulated phase change material, or MicroPCM
20 (THERMASORB®, Frisby Technologies; Winston-Salem, NC) was prepared and physical properties below were measured by standard testing methods according to ASTM standards. One sample contained THERMASORB®83 (Sample A) and one
40 sample contained THERMASORB®95 (Sample B).

Table 1. Properties of MicroPCM-containing Butadiene Rubber

	Sample A	Sample B
Hardness (Shore A)	15	7
Specific Gravity	0.934	0.922
Tensile Strength (kg/cm ²)	11.3	10.3
Elongation (%)	788	900
Tear Resistance (kg/cm)	5.3	3.6
Resiliency (%)	47.7	43.6

Other Embodiments

It is to be understood that while the invention has been described in conjunction with the detailed description thereof, the foregoing description is intended to illustrate and not limit the scope of the invention, which is defined by the scope of the appended claims. Other aspects, advantages, and modifications are within the scope of the following claims.

Claims

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1. An article for providing temperature-stabilization of a person or object,
wherein the article comprises:

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a non-foamed polymeric base material, wherein said base material is
thermoformable at a temperature below about 300°F; and

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15

a temperature-stabilizing material integral with and dispersed throughout said
base material, wherein said base material has a hardness of at least 20 Shore A when
said base material contains no temperature stabilizing material, and has a hardness of
at least 5 Shore A when said base material contains 30% by weight of temperature-
stabilizing material.

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2. The article of claim 1, wherein the base material is a rubber.

3. The article of claim 2, wherein the base material is butadiene rubber.

25

4. The article of claim 1, wherein said temperature-stabilizing material is a
microencapsulated phase change material, having a phase change temperature.

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5. The article of claim 4, wherein said microencapsulated phase change
material is present in the base material at a concentration of between about 15% to
about 35% by weight.

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6. The article of claim 5, wherein said microencapsulated phase change
material is present in the base material at a concentration of between about 15% to
about 30% by weight.

40

8. The article of claim 7, wherein the footwear component is positioned in an
insole region of a shoe.

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9. The article of claim 7, wherein the footwear component is positioned in the
tongue, vamp and collar of a shoe.

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10. The article of claim 4, wherein said article comprises a further
temperature-stabilizing material integral with and dispersed throughout said base
material, wherein said further temperature-stabilizing material has a further phase
change temperature.

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11. The article of claim 10, wherein the article is a footwear component for stabilizing the temperature of a person's foot.

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12. A product containing at least one article of claim 7, wherein the product is a footwear product.

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13. The product of claim 12, wherein the product contains a plurality of articles, said plurality of articles having a plurality of phase change temperatures, wherein the product is a footwear product.

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14. A method of preventing the temperature rise of a person's foot, said method comprising:

A) thermally charging a shoe comprising:

a sole;

an insole disposed on said sole;

25

an upper attached to the edges of said sole, said upper comprising a vamp and a collar;

15

a tongue attached to said upper; and

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at least one footwear component comprising:

a non-foamed polymeric base material, wherein said base material is thermoformable at a temperature below about 300°F; and

35

a temperature-stabilizing material integral with and dispersed throughout said base material, wherein said base material has a hardness of at least 20 Shore A when said base material contains 30% by weight of temperature-stabilizing material; and

40

B) placing the shoe on the person's foot.

45

15. The method of claim 14, further comprising the person engaging in physical activity.

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16. The method of claim 15, wherein the shoe absorbs between about 200 and about 4000 Joules of heat without allowing a temperature rise of the foot above ambient temperature more than about 30°F.

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17. The method of claim 16, wherein the shoe does not allow a temperature rise of the foot more than about 25°F above ambient temperature.

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18. A shoe for providing temperature stabilization of the wearer's foot, wherein the shoe comprises:

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a sole;

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an insole disposed on said sole;

an upper attached to the edges of said sole, said upper comprising a vamp and a collar;

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a tongue attached to said upper; and

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at least one footwear component comprising:

at least one type of flexible base material, and

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a temperature-controlling material integral with and dispersed throughout said base material.

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19. The shoe of claim 18, wherein the temperature controlling material is a phase change type material.

20. The shoe of claim 19, wherein the phase change type material is microencapsulated.

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21. The shoe of claim 20, wherein the phase change type material undergoes a phase transition at a temperature below about 88°F.

20

22. The shoe of claim 21, wherein the phase change type material undergoes a phase transition at a temperature between about 80 and 86°F.

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23. The shoe of claim 18, wherein said insole is a footwear component comprising a temperature-controlling material comprising a polymeric base material comprising microencapsulated phase change material.

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24. The shoe of claim 23, wherein said microencapsulated phase change material is loaded in the base material at a concentration of between about 25% to about 50% by weight.

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25. The shoe of claim 24, wherein a footwear component is present in the tongue portion of the shoe and in the collar portion of the shoe.

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26. The shoe of claim 25, wherein the phase change material is loaded in the insole footwear component at a concentration of between about 30% and about 50% by weight, and the phase change material is loaded in the tongue and collar footwear components at a concentration of between about 20% and about 40% by weight.

15

27. The shoe of claim 25, wherein the polymeric base material is present in the insole at a density of between about 6 and 12 pounds per cubic foot, and the polymeric base material is present in the tongue and collar portions of the shoe at a density of between about 3 and 8 pounds per cubic foot.

20

28. A method of preventing the temperature rise of the foot, said method comprising:

25

A) thermally charging a shoe comprising:

a sole;

15

an insole disposed on said sole;

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an upper attached to the edges of said sole, said upper comprising a vamp and a collar;

a tongue attached to said upper; and

35

at least one footwear component comprising:

20

at least one type of flexible base material, and

a temperature-controlling material integral with and

40

dispersed throughout said base material; and

B) placing the shoe on the foot.

29. The method of claim 28, further comprising engaging in physical activity.

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30. The method of claim 29, wherein the physical activity is selected from the group consisting of playing golf, playing basketball, playing tennis, hiking, running, and climbing.

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31. The method of claim 28, wherein the shoe absorbs between about 200 and about 4000 Joules of heat without allowing a temperature rise of the foot above ambient temperature more than about 30°F.

10

32. The method of claim 31, wherein the shoe does not allow a temperature
5 rise of the foot more than about 25°F above ambient temperature.

15

33. A temperature-stabilizing article of footwear for reducing temperature rise of a foot inside said article, said article comprising: at least two types of footwear components, a first and second type, each comprising:

a flexible, resilient base material, and

20

10 a plurality of microcapsules integral with and dispersed throughout each type of said base material, wherein said microcapsules are embedded within each type of said base material, said microcapsules being of at least two types,

25

a first type of microcapsule contained in the first type of footwear component, said first type of microcapsule containing a phase change material having
15 a first phase change temperature, and

30

a second type of microcapsule contained in the second type of footwear component, said second type of microcapsule containing a phase change material having a second phase change temperature different from the first phase change temperature.

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20 34. The temperature-stabilizing article of footwear of claim 33, wherein the first phase change temperature is at least 5°F lower than the second phase change temperature.

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35. The temperature-stabilizing article of footwear of claim 34, wherein the first phase change temperature is between about 75°F and about 90°F.

25

36. The temperature-stabilizing article of footwear of claim 35, wherein the first phase change temperature is between about 80 and 86°F.

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37. The temperature-stabilizing article of footwear of claim 34, wherein the second phase change temperature is between about 85°F and about 105°F.

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38. The temperature-stabilizing article of footwear of claim 37, wherein the second phase change temperature is between about 90°F and about 100°F.

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39. The temperature-stabilizing article of footwear of claim 33, wherein the first type of base material is incorporated into an upper portion of the footwear, and
5 the second type of base material is incorporated into an insole portion of the footwear.

15

40. The temperature-stabilizing article of footwear of claim 39, wherein the upper portion of the footwear is a tongue portion.

20

41. The temperature-stabilizing article of footwear of claim 39, wherein the first phase change temperature is between about 75°F and 90°F, and the second phase
10 change temperature is between about 85°F and 105°F.

25

42. The temperature-stabilizing article of footwear of claim 41, wherein the first phase change temperature is between about 80°F and about 86°F, and the second phase change temperature is between about 90°F and 100°F.

43. A method of reducing the temperature rise of the foot by wearing an
15 article of footwear, the method comprising:

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providing a temperature-stabilizing article of footwear on a wearer's foot, said article comprising: at least two types of footwear components, a first and second type, each type comprising:

35

a flexible, resilient base material, and

20 a plurality of microcapsules integral with and dispersed throughout each type of said base material, wherein said microcapsules are embedded within each type of said base material, said microcapsules being of at least two types,

40

a first type of microcapsule contained in the first type of footwear component, said first type of microcapsule containing a phase change material having
25 a first phase change temperature, and

45

a second type of microcapsule contained in the second type of footwear component, said second type of microcapsule containing a phase change material having a second phase change temperature different from the first phase change temperature, wherein both phase change materials are in their solid state, and

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wherein the article of footwear is provided in an ambient environment having a temperature below the phase change temperature of the first phase change material.

10

44. The method of claim 43, further comprising the subsequent step of the wearer engaging in strenuous physical activity.

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15

45. The method of claim 44, wherein the first phase change temperature is between about 75°F and 90°F, and the second phase change temperature is between about 85 and 105°F.

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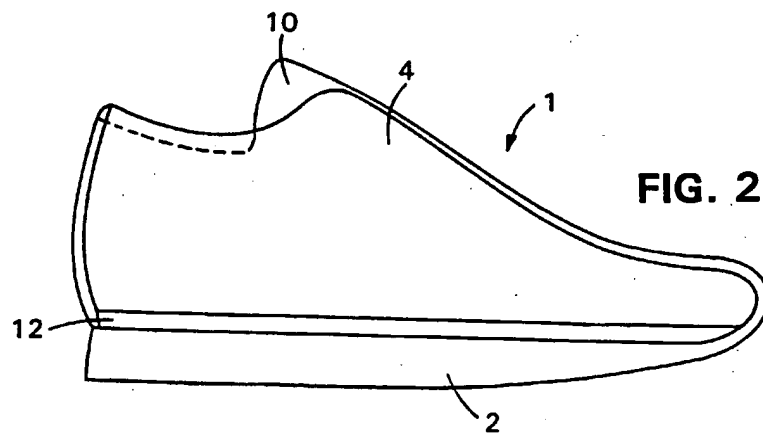
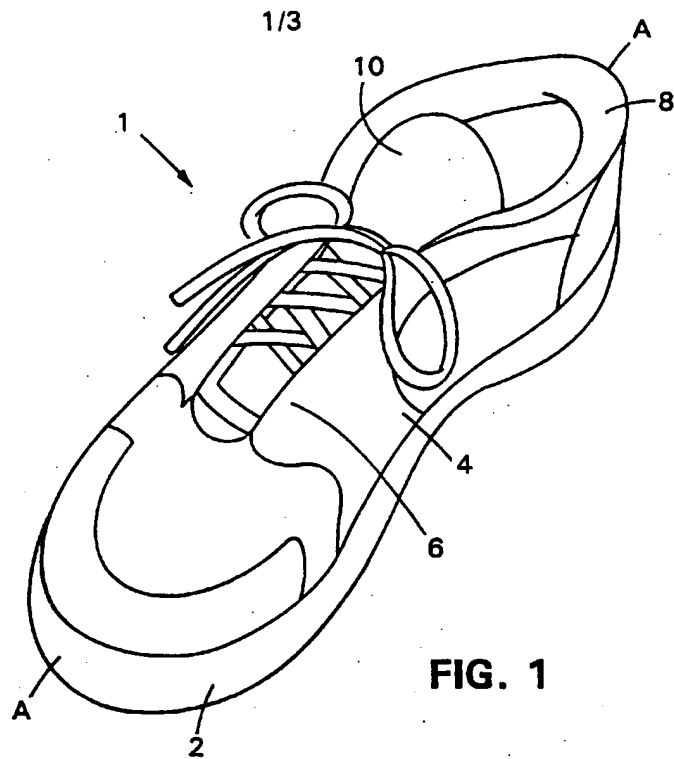
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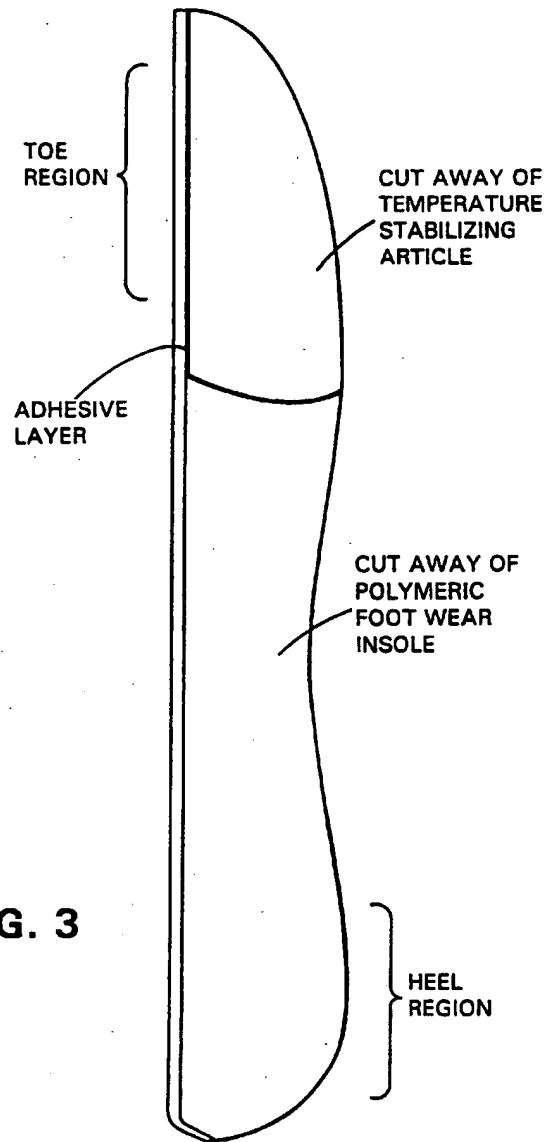


FIG. 3

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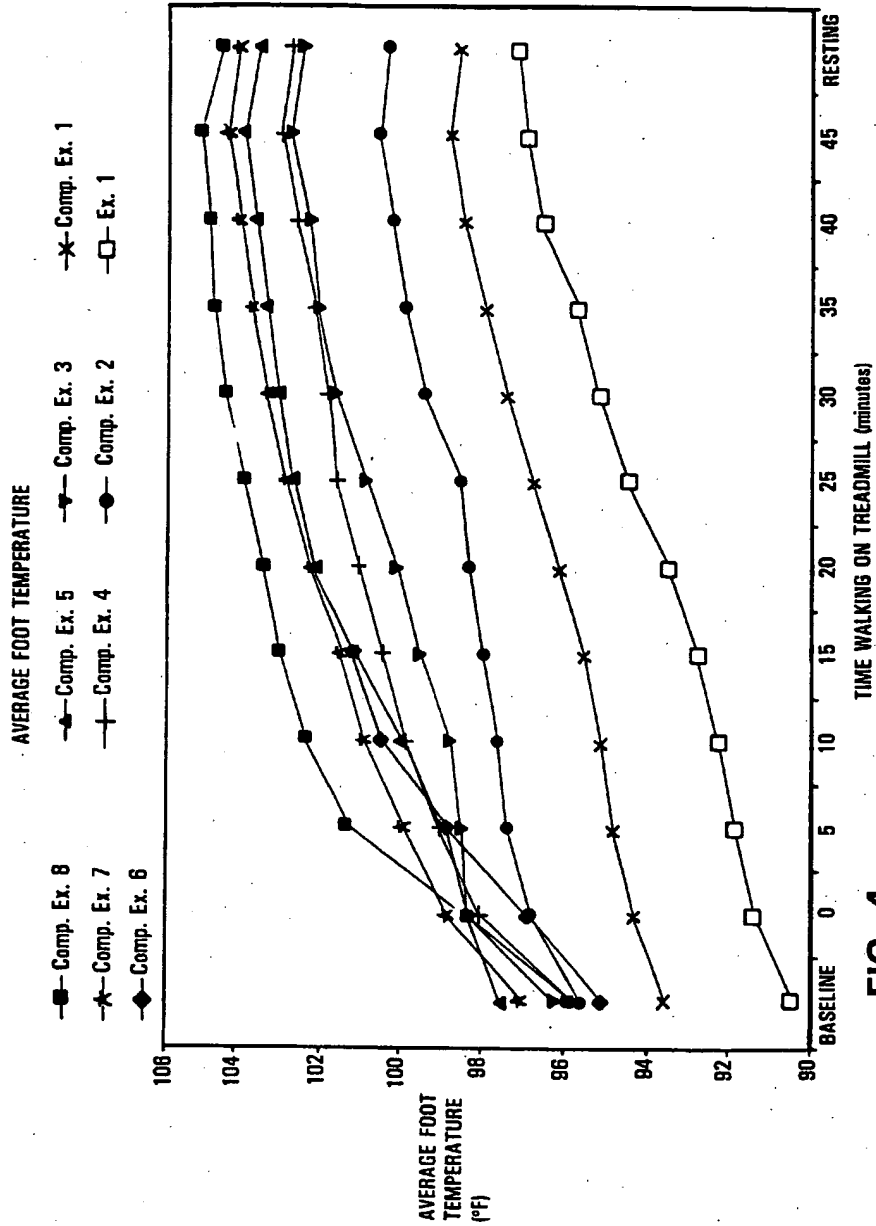


FIG. 4

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/14007

A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : A43B 13/38, 7/02
US CL : 36/43, 2.6; 428/283, 320.2
According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 36/43, 2.6, 44, 3R, 3B, 71; 428/283, 320.2, 304.4, 309.9, 317.9

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched
NONEElectronic data base consulted during the international search (name of data base and, where practicable, search terms used)
NONE

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X — Y	US 5,499,460 A (<i>BRYANT et al.</i>) 19 March 1996. See whole reference.	1, 4, 7-9, 11-15, 18-24, 28-30, 35- 38, and 44 1-45
Y	US 4,856,294 A (<i>SCARINGE et al.</i>) 15 August 1989. See whole reference.	1-45
A	US 4,504,402 A (<i>CHEN et al.</i>) 12 March 1985. See whole reference.	1-45
A	US 3,635,849 A (<i>HANSON</i>) 18 January 1972. See whole reference.	1-45

☒ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

Special categories of cited documents:	
* "A" document defining the general state of the art which is not considered to be of particular relevance	* "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
* "E" earlier documents published on or after the international filing date	* "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
* "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
* "O" document referring to an oral disclosure, use, exhibition or other means	* "Z" documents member of the same patent family
* "P" documents published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

18 JULY 2000

Date of mailing of the international search report

08 AUG 2000

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INTERNATIONAL SEARCH REPORT

International application No.
PCT/US00/14007

C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 6,004,662 A (<i>BUCKLEY</i>) 21 December 1999, See whole reference.	1-45